Tutorial Letter 101/3/2018

Development Policy and Strategies
DVA3703

Semesters 1 & 2

Department of Development Studies

This tutorial letter contains important information about your module.
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1. **INTRODUCTION**

Dear Student

Welcome to Development Studies. We trust that you will enjoy your studies with us and that your relationship with this department will be a lasting one.

2. **PURPOSE OF AND OUTCOMES**

2.1 **Purpose**

The purpose of this module is to equip you to gain insight into the process by which development policy and strategies are formulated at local, national and international levels and to analyse the dynamics and problems involved in policy implementation.

2.2 **Outcomes**

After completing this module, you should be able to

- analyse the context and meaning of contemporary development policy by using appropriate concepts
- analyse the issues in development policy in terms of theoretical frameworks
- explain the institutional environment of the policy formulation process in terms of contemporary development issues
- analyse the policy formulation process in terms of the requirements for economic progress and social transformation
- explain the action instruments and key issues in terms of capacity enhancement for policy formulation

3. **LECTURER(S) AND CONTACT DETAILS**

3.1 **Lecturer(s)**

Information regarding your lecturers for this module will be posted on the myUnisa website. Students who wish to contact lecturers who are involved in the teaching of this module may also do so by contacting the departmental administrators, who will then connect them to relevant lecturers.

Our departmental contact details are as follows:
Ms BB Mabota / Ms Lebo Masemola
Department of Development Studies, Room 4 – 26
Theo Van Wijk Building
Muckleneuk, Pretoria
3.2 University

If you need to contact the university about matters not related to the content of this module, please consult the brochure the Study @ Unisa, which you received with your study material. This brochure contains information on how to contact the university.

You can also send your enquiries directly to the following addresses:

- Unisa website : http://www.unisa.ac.za
- Assignments : assign@unisa.ac.za
- Study material : despatch@unisa.ac.za
- Examination (general enquiries): exams@unisa.ac.za
- Rewrite : aegrotats@unisa.ac.za
- Remarking : remark@unisa.ac.za
- Viewing exam script : scripts@unisa.ac.za
- Student account : finan@unisa.ac.za
- Application & registrations: study-info@unisa.ac.za
- myLife account : myLifeHelp@unisa.ac.za
- myUnisa : myUnisaHelp@unisa.ac.za

Always have your student number at hand when you contact the University.

4. RESOURCES

4.1 Reader


There is no prescribed book for DVA3703 – you only need the Reader and your Study Guide.

N.B. If you have not received your Study Guide or Reader, please contact dispatch at despatch@unisa.ac.za

4.2 Recommended books

There are no recommended books for this module.

4.3 Electronic Reserves (e-Reserves)

No e-Reserves are assigned for this module.
4.4 Library services and resources information

➢ For brief information, go to www.unisa.ac.za/brochures/studies
➢ For detailed information, go to the Unisa website at http://www.unisa.ac.za/ and click on Library.
➢ For research support and services of personal librarians, go to http://www.unisa.ac.za/Default.asp?Cmd=ViewContent&ContentID=7102

The library has compiled a number of library guides:

- finding recommended reading in the print collection and e-reserves – http://lib-guides.unisa.ac.za/request/undergrad
- requesting material – http://libguides.unisa.ac.za/request/request
- postgraduate information services – http://libguides.unisa.ac.za/request/postgrad
- finding, obtaining and using library resources and tools to assist in doing research – http://libguides.unisa.ac.za/Research_Skills
- how to contact the library/finding us on social media/frequently asked questions – http://lib-guides.unisa.ac.za/ask

5. STUDENT SUPPORT SERVICES

Please take note that the university will no longer be printing study material of less than 10 pages. This material will ONLY be available on the myUnisa platform therefore, claiming your myLife account is crucial. In addition, you will receive important communication about the module via your myLife account or via SMS. To claim your myLife account, log onto https://my.unisa.ac.za/portal and select “claim Unisa login,” to follow the instructions given.

Update the University of any changes in your contact details.

Once you have claimed your myLife student email account, you will be able to log onto myUnisa. myUnisa is very useful for the following reasons:

- You can download electronic copies of your tutorial letters and study guide.
- You can communicate with lecturers and fellow students in the discussion forums.
- You will be reminded of assignment due dates.
- You will be linked to an e-tutor.
- You can submit your assignments online.

Unisa has entered into partnerships with establishments (referred to as Telecentres) in various locations across South Africa, to give Unisa students free access to computers and to the internet. This access enables you to conduct the following academic-related activities at the Telecentres for free:
• module registration
• online submission of assignments;
• engagement in e-tutoring activities and signature courses.

Please note that any other activities are for your own cost (e.g. printing, photocopying, etc.) For more information on the Telecentre nearest to you, please visit www.unisa.ac.za/telecentres.

DVA3703 has e-tutors. Log onto myUnisa to identify your tutor and tutor group. For information on other student support systems and services available at Unisa (e.g. student counselling, tutorial classes, language support), please consult the Study @ Unisa brochure.

6. STUDY PLAN

Use the Study @ Unisa brochure for general time management and planning skills.

7. PRACTICAL WORK AND WORK-INTEGRATED LEARNING

DVA3703 has no practical work component or work-integrated assessment.

8. ASSESSMENT

8.1 Assessment criteria

At the end of the module, you should be able to:

• Define development policy and related concepts and issues in the context of Africa
• Evaluate public policy concerns related to contemporary development debate
• Relate public policy concerns to appropriate theoretical frameworks and apply these frameworks to contemporary case studies
• Analyse the political, economic, administrative and external dimensions of the institutional environment
• Explain the characteristics, factors and limitations that affect policy formulation and management capacity
• Explain the policy formulation process as a complex political and administrative process
• Analyse policy implementation and evaluation as part of policy review and reformulation.
• Evaluate the action instruments for enhancing policy formulation capacity
• Analyse the key issues affecting policy formulation capacity
• Explain the role and importance of civil society in the policy formulation process
8.2 Assessment plan

DVA3703 uses the following assessments:

- A compulsory MCQ (Multiple Choice Questions) assignment as a formative assessment
- An essay-type assignment as a form of formative assessment (you must submit at least one essay-type assignment, so select ANY from Assignment 02 to 07 for the semester you are registered for)
- A written examinations as the summative assessment.

8.3 Assignment numbers

8.3.1 General assignment numbers

Assignments are numbered as demonstrated in this tutorial letter.

8.3.2 Unique assignment numbers

Each assignment has its own special, unique number, which makes it possible for our system to recognise which assignment is being uploaded. Please remember that you MUST complete and submit assignment 01 to get examination admission. Then, you need to select, complete and timeously submit at least ONE essay time assignment (02 to 07) to earn a year mark. The unique numbers of the assignments for this module are:

<table>
<thead>
<tr>
<th>Semester 1</th>
<th>Unique assignment no</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assignment 01 (MCQ)</td>
<td>730838</td>
</tr>
<tr>
<td>Assignment 02</td>
<td>791115</td>
</tr>
<tr>
<td>Assignment 03</td>
<td>657950</td>
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<tr>
<td>Assignment 04</td>
<td>760404</td>
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<tr>
<td>Assignment 05</td>
<td>798299</td>
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<tr>
<td>Assignment 06</td>
<td>769462</td>
</tr>
<tr>
<td>Assignment 07</td>
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</table>

<table>
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<tr>
<th>Semester 2</th>
<th>Unique assignment no</th>
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<tbody>
<tr>
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</tr>
<tr>
<td>Assignment 02</td>
<td>897081</td>
</tr>
<tr>
<td>Assignment 03</td>
<td>700687</td>
</tr>
<tr>
<td>Assignment 04</td>
<td>741851</td>
</tr>
</tbody>
</table>
8.4 Assignment due dates

Each assignment has a due date or submission date. Please check the dates carefully and ensure that assignments are submitted on time.

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Due date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assignment 01 (MCQ)</td>
<td>02 March 2018</td>
</tr>
<tr>
<td>Assignments 02 to 07</td>
<td>09 March 2018</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Due date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assignment 01 (MCQ)</td>
<td>31 August 2018</td>
</tr>
<tr>
<td>Assignments 02 to 07</td>
<td>10 September 2018</td>
</tr>
</tbody>
</table>

8.5 Submission of assignments

The first assignment for both semesters can be submitted on mark-reading sheets. The essay-type assignments must be submitted electronically via myUnisa. Assignments may NOT be submitted by fax or email. For detailed information and requirements as far as assignments are concerned, see the brochure Study @ Unisa, which you received with your study material. You need to submit two compulsory assignments for this module as follows:

- Assignment 01 (multiple-choice questions) is compulsory as it gives you entry into the examination. You will NOT be allowed to sit for your exam if Assignment 01 is not submitted. This assignment does not contribute to your year mark.
- You may choose the second compulsory assignment from the remaining assignments (02 to 07), which are of the essay type.
- You may submit more than one of these essay-type assignments but only ONE is compulsory.
- If you submit more than one essay assignment, the essay assignment with the highest mark will be used to calculate your year mark (see section 10 below on how this will be combined with the exam mark).
- Your year mark contributes 20% to your final mark.
8.6 The assignments

Please note: We strongly recommend that you submit all your assignments electronically via myUnisa.

We have asked seven assignment questions. Assignment 01 is a multiple-choice questions. The other assignments are all essay-type questions. Please read Tutorial Letter DVAALLD/301 for guidelines on how to write an essay.

To help you master the study material, we recommend that you prepare schemes for yourself on all the essay-type assignment questions – even for those you do not submit for marking. This is because the topics of the assignments highlight the most important information in this module. Questions (or parts of questions) from the assignment programme could be asked in the examination. Therefore, doing more than one assignment gives you the opportunity to prepare for the examination. The completed assignment with the lecturer's comments gives you an idea of how well you understand that section of the work and how much revision you still need to do.

Assignments are not only useful indicators of what to expect in the exams; they also give you the chance to practise expressing your thoughts clearly and concisely, which will be very important in your work as a development practitioner. Please note the following:

- Your essay-type assignments should not exceed five pages (excluding the table of contents and the bibliography).
- If you submit a typed essay, use 1.5 line spacing and preferably Arial or Times New Roman size 12.
- Submit each assignment in a separate assignment cover.
- Remember to write exactly the same assignment number that is given in this tutorial letter on the cover of your assignment. If you write the wrong assignment number, your assignment will be returned to you unmarked.
- Before you attempt any of the essay-type assignments listed later in this tutorial letter, carefully read Tutorial Letter DVAALLD/301, which contains important hints on how to answer assignment questions, refer to sources and compile a bibliography.
- In section 12 of this tutorial letter, you will find a checklist for essay-type assignments. Attach the completed checklist to the first page of your second assignment. You will also need to attach a copy of the checklist to any additional essay-type assignments you submit.

Feedback on assignments

You will receive the correct answers automatically for multiple-choice questions. For written assignments, markers will comment constructively on your work. General feedback on the assignments will be available to all students registered for this module in a follow-up tutorial letter posted on my Unisa, and not only to those students who submitted the assignments.
As soon as you have received the feedback, please check your answers. The assignments and the feedback on them constitute an important part of your learning and should help you to be better prepared for the examination.

**Please note:** Although you may work together with other students when preparing assignments, you must write and submit your own individual assignment. In other words, you must submit your own ideas in your own words, sometimes making use of interspersed relevant short quotations, with the correct method of referencing (see Tutorial Letter DVAALLD/301). It is unacceptable for students to submit identical assignments on the basis that they worked together. This is regarded as copying, a form of plagiarism, and none of these assignments will be marked. Furthermore, you may be penalised or subjected to disciplinary proceedings by the university.

**NB!** Plagiarism is a serious offence, and that is why it is extremely important to provide references in your essay assignment. Marks will be deducted from your assignment if you do not reference appropriately or if you copy information from any source without providing a reference. The following percentages will be deducted:

- first-year students – up to 10%
- second and third-year students – up to 20%
- honours students – up to 100%

**NB!** Please do not use Wikipedia as it is not a reliable academic source.

Before you attempt any of the essay assignments listed below (02 to 07), carefully read Tutorial Letter DVAALLD/301, which contains important hints on answering assignment questions, referring to sources in the text and compiling a bibliography.

<table>
<thead>
<tr>
<th>ASSIGNMENT 01 FOR THE FIRST SEMESTER OF 2018</th>
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<tbody>
<tr>
<td>Assignment</td>
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<tr>
<td>Assignment 01: Compulsory</td>
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</tbody>
</table>

You must consult the study guide to answer Assignment 01. It consists of twenty multiple-choice questions, which are designed to make you aware of the content of the module. Some will test your ability to extract information from texts. This ability is the gateway to intelligent reading. Other questions will test your understanding of the meaning of key concepts.

Assignment 01 has to be completed on a mark-reading sheet. You will find instructions on how to fill in a mark-reading sheet in the *Study @ Unisa* brochure, which was issued to you when you registered. Please make sure that you fill in the unique number correctly to ensure that the mark-reading sheet does not go astray.
QUESTION 1

According to study unit 2, which of the following options can be considered a factor that determines the “appropriateness” of a public policy?

1. Nationalisation of natural resources.
2. The size of the country.
3. The autocratic nature of the government.
4. Adoption of neoliberal ideas.
5. None of the above options.

QUESTION 2

The “growth with equity” approach to development emphasises….

a) development projects.
b) empowerment.
c) environmental sustainability.
d) macro-level development planning.
e) poverty alleviation.

1. All of the above.
2. a, b, c and d.
3. a, b, c and e.
4. None of the above.

QUESTION 3

Match column A to Column B

<table>
<thead>
<tr>
<th>Column A</th>
<th>Column B</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Social sustainability</td>
<td>a) Aims to ensure a stable ecology and durable biodiversity.</td>
</tr>
<tr>
<td>ii. Economic sustainability</td>
<td>b) Aims to ensure legitimate, inclusive and transparent political processes and responsive, strategic institutions.</td>
</tr>
<tr>
<td>iii. Environmental sustainability</td>
<td>c) Aims to ensure stable public and private investment, and the effective allocation and management of resources.</td>
</tr>
<tr>
<td>iv. Political sustainability</td>
<td>d) Aims to improve citizenship entitlements, nurture cohesive communities and enable improved social wellbeing and quality of life.</td>
</tr>
<tr>
<td>v. Cultural sustainability</td>
<td>e) Aims to recognise and promote local values, customs and cultural practices.</td>
</tr>
</tbody>
</table>

1. i =a, ii= b, iii=c, iv=d, v=e
2. i=b, ii=c, iii=d, iv=e, v=a
3. i=c, ii=d, iii=e, iv=a, v=b
4. i=d, ii=c; iii=a, iv=d, v=e
5. i=e; ii=d, iii=a; iv=b, v=c

QUESTION 4

Choose the correct combination of options. According to the __________ approach to public policy making, the __________ model assumes that individuals and organisations seek to maximise their own interests in politics.

1. institutional; mixed-method
2. complexity theory; rational
3. interest-based; public choice
4. evaluation; group
5. functional-based; elite

QUESTION 5

Choose the correct combination of options. Which of the following statements are correct in relation to maintaining macroeconomic policy stability?

a) It has a negative impact on policies that are aimed at eradicating poverty and pro-poor development.
b) It can attract foreign capital for development purposes.
c) It contributes to balance of payment crises and creates unsustainable budget deficits.
d) It can be seen in sustained economic growth rates, investment and job creation.
e) It discourages foreign direct investment in countries of the global south.

1. (a) and (e)
2. (b) and (a)
3. (d) and (c)
4. (b) and (d)
5. (c) and (e)

QUESTION 6

Choose the correct option. Which of the following does Mkandawire (2014:187) regard as an important outcome of the post-Washington Consensus gaze on development?

1. That infrastructural development was of lesser importance.
2. An emphasis on the importance of strong institutions.
3. An emphasis on continental coordination and information gathering.
5. African ownership and leadership in global development.
QUESTION 7

Choose the correct option. Which option can be viewed as a benefit of localisation?

1. It can promote more responsive and efficient local governance.
2. It can hamper solidarity in local communities.
3. It effectively centralises local government functions and authority.
4. It can result in the exclusion of economic elites in society.
5. It promotes the entrenchment of unequal power relationships.

QUESTION 8

Choose the correct option. According to study unit 1, Mkandawire regards contemporary development thinking as emphasising...

1. a search for alternative models for development.
2. economic growth.
3. sustainable development.
4. the ecological crisis.
5. the eradication of poverty.

QUESTION 9

Consider the following hypothetical scenario:

A non-profit organisation specialising in promoting equal access to higher education opportunities united people from different socioeconomic classes and race groups during a demonstration to the legislator for free higher education. The organisation first hosted a range of discussion sessions with those who participated in the demonstration to facilitate the free flow of information and knowledge.

This scenario best represents ____________.

1. bridging social capital
2. policy implementation
3. linking social capital
4. class solidarity
5. bonding social capital

QUESTION 10

According to study unit 6, which one of the following statements cannot be associated with the role of civil society in policy formulation and implementation?
1. It can serve either as a partner in reform or in challenging the reform process.
2. It can strengthen responsive governing institutions and practices.
3. It can assume sole responsibility for implementing public policy.
4. It can facilitate participation of the poor and vulnerable in policy processes.
5. It can assist in information sharing.

**QUESTION 11**

Which option is **NOT** the foci of policy evaluation?

1. The efficiency of the policy
2. The goal-effectiveness of the policy
3. Policy-makers value system
4. Calculating cost-benefit ratios
5. Equality and equity

**QUESTION 12**

Which one of the following options **best represents** globalisation as described in study unit 2?

1. The stretching of social, political, and economic activities across political frontiers.
2. A benign social change experienced equally by all social groups across the globe.
3. Accelerated global interactions coupled with greater equality.
4. A self-serving myth, which reinforces Western hegemony in world politics.
5. A positive force leading to an interdependent world with mutually beneficial multilateral collaboration and cooperation.

**QUESTION 13**

Which action public policy instrument is described in the following sentence? __________ facilitates knowledge sharing between policy makers, implementers and target communities.

1. Information gathering
2. Information analysis
3. Consultation
4. Information appraisal
5. Formulation and assessment

**QUESTION 14**

Choose the **correct** option. One of the roles of the state in terms of the political dimension of the institutional environment can be summarised as follows:
1. National governments should co-opt civil society organisations to support government policy stances on societal problems.
2. National governments should structure institutional arrangements to shield office bearers from international media scrutiny.
3. National governments should minimise state intervention in the economy to reduce inequality.
4. National governments should take the initiative by ensuring that their own core institutions of democracy are fully accountable.
5. All of the above options are correct.

QUESTION 15

Identify the correct option. One of the main points of critique against incorporating the idea of social capital into policy-making ideas is that...

1. it can be measured only as the amount of civil participation or volunteering in a community.
2. ways to maximise the positive influence of strong social capital must first be established.
3. high levels of intra-community “bonding” can lead to the social exclusion of certain groups of individuals during the policy-making process.
4. identifying when enough of each form of social capital has been gained is a prerequisite for successful and inclusive policy making.

QUESTION 16

According to Laswell’s policy model, the policy formulation process can be divided into seven stages. Which one of the following options is NOT one of these stages?

1. Intelligence
2. Promotion
3. Prescription
4. Agency
5. Application

QUESTION 17

Choose the correct option. In participatory development...

1. centralised hierarchies are emphasised as the preferred institutional arrangement to effect change.
2. top-down development is preferred.
3. people’s needs and inputs are placed first in the pursuit of a more equitable society.
4. development experts help people to effectively identify and address their own needs.
5. rural development is seen as more important than urban development.
QUESTION 18

Which of the following options can be viewed as a critical factor that influences participation?

1. The value of contributions by civil society.
2. The demand for advice by policy makers and implementers.
3. The feasibility of the policy for a given context.
4. The efficiency of the policy.
5. All of the above.

QUESTION 19

Which one of the following definitions best describes a public policy?

1. Whatever a government chooses to do.
2. A purposeful course of action followed by state and non-state actors when a problem or a thorny issue is handled.
3. Interrelated decisions taken by the government about goals and the means of achieving them.
4. Policies that affect housing, welfare, health care and education.
5. A single action to achieve a specific goal.

QUESTION 20

Choose the correct option. Under which tab on myUnisa can you download past exam papers?

1. Additional Resources
2. Announcements
3. Discussion Forums
4. MyWorkspace
5. Official Study Material

End of Assignment 01, 20 X 5 =100%
In the following section, there are 6 essay type questions (numbered Assignment 02 to 07) for the first semester, which you must complete for your year mark. You must submit one, but you may also submit more than one as explained earlier.

ASSIGNMENT 02 FOR THE FIRST SEMESTER OF 2018

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
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</thead>
<tbody>
<tr>
<td>Assignment 02</td>
<td>Semester 1</td>
<td>09 March 2018</td>
<td>791115</td>
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</tbody>
</table>

A critical, historical study of the nature and objectives of development will show the negative impact of Western neoliberalism on African development policies. Write an essay in which you analyse this statement. Give well-substantiated reasons for either agreeing or disagreeing with the statement.

Assessment evidence:

- Summarise the ways in which the meaning and objectives of development have changed.
- Describe in your own words how these changes influenced views of public policy directed at development in Africa.
- Write brief notes on the political, social and economic factors that influence development policy in Africa today.
- Use prescribed and other relevant information sources.

Prescribed readings:

- Study guide, study unit 1
- Reader 2016: contributions by
  - Mkandawire (2014)
  - Naidoo and Maré (2015)

ASSIGNMENT 03 FOR THE FIRST SEMESTER OF 2018

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
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<tbody>
<tr>
<td>Assignment 03</td>
<td>Semester 1</td>
<td>09 March 2018</td>
<td>657950</td>
</tr>
</tbody>
</table>

Write an essay on social capital as a key concept to inform public policies.
Assessment evidence:

- Describe social capital as related to public policy formulation.
- Give reasons for considering social capital in public policy formulation.
- Provide a coherent critique of social capital as a key concept in public policy formulation.
- Discuss the link between social capital and the notion of social cohesion.
- Use prescribed reading and other relevant reading to strengthen your argument.

Prescribed readings:

- Study guide, study unit 2
- Reader 2016: contribution by Phiri et al (2016)

ASSIGNMENT 04 FOR THE FIRST SEMESTER OF 2018

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
</tr>
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<tbody>
<tr>
<td>Assignment 04</td>
<td>Semester 1</td>
<td>09 March 2018</td>
<td>760404</td>
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</table>

Discuss the institutional environment of policy formulation, and why it is important. Answer this question with reference to a particular country as an example. In your discussion pay attention to Lauridsen’s critique of recipes of “institutional therapy”.

Assessment evidence:

- Describe the institutional environment.
- Outline the characteristics, factors and restrictions of each dimension that influences the capacity for policy formulation and management.
- Summarise Lauridsen’s critique of standard recipes of “institutional therapy” driven by the international development establishment for middle and low-income countries.
- Illustrate your discussion with appropriate examples.
- Use prescribed reading and other relevant reading to strengthen your argument.

Prescribed readings:

- Study guide, study unit 3
- Reader 2016: contributions by
  - Koelble and Siddle (2014)
  - Lauridsen (2012)
Discuss policy problem framing, agenda setting, the specification of objectives and the development of possible options as phases of the policy formulation process. Use appropriate examples.

Assessment evidence:

- Outline the phases of the policy formulation process.
- Explain what is meant by problem framing, agenda setting, objective specification and option development.
- Analyse the influence of role players such as civil society, government, big business and political actors in these phases.
- Use appropriate examples of contemporary social problems demanding policy attention throughout.
- Use prescribed and other relevant readings to strengthen your own argument.

Prescribed readings:

- Study guide, study unit 4
- Reader 2016: contributions by
  - Enns, Bersaglio and Kepe (2014)
  - Ocampo (2015)

Discuss decision-making and implementation as phases of the policy formulation process. Outline challenges in decision-making and implementation and the factors that shape these phases. Apply your discussion to the case study on the planning of extension services for rural women in South Africa.

Assessment evidence:

- Discuss decision making in terms of the different types and the human factors that play a role.
Describe policy implementation.
Explain the 5C Protocol to illustrate its relevance to policy implementation.
Evaluate the planning of extension services for rural women in South African in terms of
- types of decision making
- role of human factors
- the 5C Protocol
Use prescribed and other relevant reading to strengthen your argument.

Prescribed readings:

- Study guide, study unit 5
- Reader 2016: contributions by
  - Mtshali (2000)
  - Naidoo (2013)

ASSIGNMENT 07 FOR THE FIRST SEMESTER OF 2018

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
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<tbody>
<tr>
<td>Assignment 07</td>
<td>Semester 1</td>
<td>09 March 2018</td>
<td>685371</td>
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</table>

Information analysis as one of the action instruments in policy analysis is shaped by the specific policy design and space. Discuss this with reference to (1) a public policy example of your choice, and (2) the discussion of policy analysis in the article by Hankivsky and Cormier (2011) in the reader.

Assessment evidence:

- Define and discuss information gathering, information analysis and consultation as action instruments linking the phases of the policy formulation process.
- Consider policy advice as constrained expert discourse operating in a particular market-place for policy ideas.
- Offer informed opinions on intersectionality as a perspective for policy consultation and the implications and consequences of this.
- Use prescribed and other relevant reading to strengthen your argument.

Prescribed readings:

- Study guide, study unit 5
- Reader 2016: contribution by Hankivsky and Cormier (2011)
SECOND SEMESTER ASSIGNMENT QUESTIONS

ASSIGNMENT 01 FOR THE SECOND SEMESTER OF 2018

<table>
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<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
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<tbody>
<tr>
<td>Assignment 01</td>
<td>Semester 2</td>
<td>31 August 2018</td>
<td>724663</td>
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You must consult the study guide to answer Assignment 01. It consists of twenty multiple-choice questions, which are designed to make you aware of the content of the module. Some will test your ability to extract information from texts. This ability is the gateway to intelligent reading. Other questions will test your understanding of the meaning of key concepts.

Assignment 01 has to be completed on a mark-reading sheet. You will find instructions on how to fill in a mark-reading sheet in the brochure Study @ Unisa, which was issued to you when you registered. Please make sure that you fill in the unique number correctly to ensure that the mark-reading sheet does not go astray.

QUESTION 1

Making climate change an integral part of development policy remains a challenge because economic and infrastructure development is emphasised over environmental issues. This is an example of a public policy lacking...

1. legitimacy and universality.
2. legitimacy and coercion.
3. universality and coercion.
4. universality and commitment.
5. coercion and institutional support.

QUESTION 2

Choose the correct option. Understanding public policy as an output of institutions is premised on the idea that__________.

1. professional work relationships among employees in state institutions are important for successful policy formulation
2. the educational level of individuals employed in state institutions are crucial for policy formulation
3. bargaining, negotiation, compromise and weighing up of competing demands shape public policy
4. public policy is the direct result of multi-agent, multi-actor and multi-layered stakeholder engagements
5. the structure and design of state institutions are more important than individual inputs into policy processes

QUESTION 3

The “growth with equity” approach to development emphasises....

a) development projects.
b) empowerment.
c) environmental sustainability.
d) macro-level development planning.
e) poverty alleviation.

1. All of the above.
2. a, b, c and d.
3. a, b, c and e.
4. None of the above.

QUESTION 4

Choose the correct option. Which combination of the following options can be viewed as advantages of envisioning public policy as a policy cycle?

a) It makes policy processes more comprehensible.
b) Specific options to address the problem are put forward.
c) Decision makers prescribe a particular course of action.
d) There are delineated stages that can be analysed and reviewed.
e) A set of sanctions may be developed for non-compliance with policy prescriptions.

1. (c) and (e)
2. (b) and (a)
3. (d) and (c)
4. (e) and (a)
5. (a) and (d)

QUESTION 5

Choose the correct combination of options. According to the _______ approach to public policy making, the _______ model assumes that individuals and organisations seek to maximise their own interests in politics.

1. institutional; mixed-method
2. complexity theory; rational
3. interest-based; public choice
4. evaluation; group
5. functional-based; elite
QUESTION 6

Which type of decision making in policy must be prioritised in order to determine the most appropriate decision at a given time?

1. Impulsive decision making
2. Intuitive decision making
3. Programmed decision making
4. Single-choice decision making
5. Multi-choice decision making

QUESTION 7

Choose the correct option. Which of the following does Mkandawire (2014:187) regard as an important outcome of the post-Washington Consensus gaze on development?

1. That infrastructural development was of lesser importance
2. An emphasis on the importance of strong institutions
3. An emphasis on continental coordination and information gathering
4. An emphasis on natural resource valuation and accounting
5. African ownership and leadership in global development

QUESTION 8

Choose the correct combination that refers to the 5C Protocol for policy implementation:

1. Content, context, committee, capacity and clients.
2. Content, context, committee, capital and clients.
3. Content, context, commitment, capacity, clients and coalitions.
4. Content, context, committee, capital and campaign.
5. Capital, campaign, committee, cost and constraints.

QUESTION 9

Which option is UNTRUE as a requirement for effective evaluation as stipulated by Cloete (2006)?

1. Originality
2. Objective
3. Related
4. Relevant
5. Reliable
QUESTION 10

According to study unit 6, which one of the following statements cannot be associated with the role of civil society in policy formulation and implementation?

1. It can serve either as a partner in reform or in challenging the reform process.
2. It can strengthen responsive governing institutions and practices.
3. It can assume sole responsibility for implementing public policy.
4. It can facilitate participation of the poor and vulnerable in policy processes.
5. It can assist in information sharing.

QUESTION 11

Choose the correct option. Which factor determines whether a given policy issue is likely to gain the attention of policy makers?

1. Reform of a country’s judicial system.
2. The nature of the policy instruments used by policy actors.
3. The intensity or the emotional connection to the policy issue.
4. Remuneration packages of policy decision makers.
5. The duration of the public consultation process.

QUESTION 12

Which one of the following options best represents globalisation as described in study unit 2?

1. The stretching of social, political, and economic activities across political frontiers.
2. A benign social change experienced equally by all social groups across the globe.
3. Accelerated global interactions coupled with greater equality.
4. A self-serving myth, which reinforces Western hegemony in world politics.
5. A positive force leading to an interdependent world with mutually beneficial multilateral collaboration and cooperation.

QUESTION 13

Choose the correct option. One of the roles of the state in terms of the political dimension of the institutional environment can be summarised as follows:

1. National governments should co-opt civil society organisations to support government policy stances on societal problems.
2. National governments should structure institutional arrangements to shield office bearers from international media scrutiny.
3. National governments should minimise state intervention in the economy to reduce inequality.
4. National governments should take the initiative by ensuring that their own core institutions of democracy are fully accountable.
5. All of the above options are correct.

**QUESTION 14**

Choose the correct option. The following are tools in public policy which are used to structure collective action to address policy objectives and implementation strategies:

- a) Information gathering
- b) Information analysis
- c) Information appraisal
- d) Consultation
- e) Formulation and assessment

1. a, b and c
2. a, b and d
3. b, c and d
4. c, d and e
5. All of the above.

**QUESTION 15**

The so-called "trickle-down effect" refers to the idea that …

1. economic growth will eventually and automatically help the poor.
2. economic growth needs a system of delivering benefits to the poor.
3. economic growth must be controlled by the state.
4. for the economy to work, the rich must become richer.
5. each social class would be influenced by the one below it.

**QUESTION 16**

According to Laswell's policy model, the policy formulation process can be divided into seven stages. Which one of the following options is NOT one of these stages?

1. Intelligence
2. Promotion
3. Prescription
4. Agency
5. Application
QUESTION 17

Choose the correct option. Various role players are involved in setting the public policy agenda. One of the key interests of ____________ in this process is to provide a link between the state and society.

1. national interest groups
2. research organisations
3. civil society
4. mass society
5. international organisations

QUESTION 18

Which of the following options can be viewed as a critical factor that influences participation?

1. The value of contributions by civil society.
2. The demand for advice by policy makers and implementers.
3. The feasibility of the policy for a given context.
4. The efficiency of the policy.
5. All of the above.

QUESTION 19

Which model assumes that governments are influenced by various interest groups in public policy making, but will not be biased for or against any such groups?

1. The elite model
2. The rational model
3. The public choice model
4. Marxist model
5. Participatory model

QUESTION 20

Choose the correct option. Under which tab on myUnisa can you download past exam papers?

1. Additional Resources
2. Announcements
3. Discussion Forums
4. MyWorkspace
5. Official Study Material

End of Assignment 01, 20 X 5 =100%
In the following section, there are 6 essay-type questions (numbered Assignment 02 to 07) for the second semester, which you must complete for your year mark. You must submit one, but you may also submit more than one as explained earlier.

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<th>ASSIGNMENT 02 FOR THE SECOND SEMESTER OF 2018</th>
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Consider the following statement: *All development policies are inventions by the bourgeoisie to protect their privileges.* Do you agree or disagree with this statement? Give reasons for your answer.

**Assessment evidence:**

- Define development policy as a specific kind of public policy.
- Critically analyse key concepts and issues in contemporary and future development policies.
- List the characteristics of a development policy, and pay special attention to policy outputs.
- Substantiate your discussion with relevant examples.
- Can a development policy serve the goals of both distributive and redistributive justice?
- Use prescribed and other relevant information sources.

**Prescribed readings:**

- Study guide, study unit 1
- Reader 2016: contributions by
  - Mkandawire (2014)
  - Naidoo and Maré (2015)
  - Phiri et al (2016)

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<td>Assignment</td>
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<td>Assignment 03</td>
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</table>
Compare and contrast structural, institutional and interest-based approaches to policy making. In your essay, use the Nationally Appropriate Mitigation Actions (NAMAs) in South Africa as an example.

**Assessment evidence:**

- Describe the three approaches, highlighting the features of each and explaining how they differ from one another.
- Discuss the factors under each approach that affect government’s capacity for policy making.
- Use the case study on the NAMAs to illustrate your discussion.
- Use prescribed and other relevant reading to strengthen your own argument.

**Prescribed readings:**

- Study guide, study unit 2
- Reader 2016: contribution by Upadhyaya (2016)

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**ASSIGNMENT 04 FOR THE SECOND SEMESTER OF 2018**

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<th>Assignment</th>
<th>Semester</th>
<th>Due date</th>
<th>Unique assignment no.</th>
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<tbody>
<tr>
<td>Assignment 04</td>
<td>Semester 2</td>
<td>10 September 2018</td>
<td>741851</td>
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</table>

Write an essay on the institutional approach to policy management in which you also describe four capacities that are required for successful policy management and outline options for institutional arrangements.

**Assessment evidence:**

- Define policy management and the institutional approach to it.
- Outline four capacities for policy management in a critical way.
- Suggest what the options for institutional arrangements are and the possibilities and restrictions these impose on policymaking.
- Illustrate your discussion with reference to the article by Koelble and Siddle (2014).
- Use your answers to Activity 3.2 in the study guide to include appropriate examples.
- Use prescribed reading and other relevant reading to strengthen your argument.
Prescribed readings:

- Study guide, study unit 3
- Reader 2016: contributions by
  - Koelble and Siddle (2014)
  - Lauridsen (2012)

The big challenge for governments is to take the most effective action on complex policy problems and to manage policy implementation and review decisively. Discuss this statement in an essay on policy option generation and the setting and assessment of goals and alternatives for policies directed at development.

**Assessment evidence:**

- Outline policy option generation, implementation and review as phases in the policy formulation process.
- Discuss the importance of these phases in the policy formulation process.
- Reflect on the issue of complex policy problems and the call for participation by all groups in policy formulation and implementation.
- Include a discussion of the marginalisation of some groups in the processes of policy option generation.
- Use prescribed and other relevant material in your essay.

**Prescribed readings:**

- Study guide, study units 2 and 4
- Reader 2016: contributions by
  - Enns, Bersaglio and Kepe (2014)
  - Ocampo (2015)
You are contracted as a policy consultant for the Department of Cooperative Governance and Traditional Affairs to train a group of newly appointed public officials on policy evaluation. Write an essay in which you do the following:

a) Define policy evaluation and briefly suggest what it entails.
b) Discuss the variables that determine the decision to evaluate and the evaluation design.
c) Examine criteria that have been suggested as requirements for effective policy evaluation.

Assessment evidence:

o Demonstrate an understanding of the concept of policy evaluation.
o Identify and describe from the prescribed readings the variables that are suggested as determining the decision to evaluate and the evaluation design.
o List the criteria that have been suggested in the prescribed readings as requirements for effective evaluation, and also critically interrogate the meaning and applicability of these criteria.
o Use prescribed reading and other relevant reading to strengthen your own argument.

Prescribed readings:

- Study guide, study unit 5
- Reader 2016: contributions by
  o Mtshali (2000)
  o Naidoo (2013)

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<th>ASSIGNMENT 07 FOR THE SECOND SEMESTER OF 2018</th>
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<tr>
<td>Assignment</td>
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<tr>
<td>Assignment 07</td>
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Critically reflect on the ability of civil society to function as an incubator of alternative ideas for development policy.

Assessment evidence:

- Describe and evaluate the role of civil society participation in development policy making.
- Describe and evaluate the role of civil society in offering alternative ideas for development policy.
- Substantiate your discussion with relevant examples.
- Use prescribed and other relevant reading to strengthen your own argument.
Prescribed readings:

- Study guide, study unit 5
- Reader 2016: contribution by Piper and Nadvi (2010)

8.7 Other assessment methods

There are no other assessment methods for DVA3703.

8.8 The examination

Use the Study @ Unisa brochure for general examination guidelines and examination preparation guidelines.

- You need to submit Assignment 01 (compulsory) to gain admission to the examination.
- Your final mark is calculated as follows: year mark (20%) + exam mark (80%) = final mark (100%). The year mark (20%) is calculated using your highest essay assignment mark. For example, if you get 60% for your essay-type assignment, you will earn 12% towards your final mark. If you get 55% in your exam, then you will earn 44% towards your final mark. Therefore, your final mark will be 56% (12% + 44% = 56%).
- Note that you need to obtain a final mark of 50% to pass the module. In the examination, you need to obtain a sub-minimum of 40%.

9. FREQUENTLY ASKED QUESTIONS

The Study @ Unisa brochure contains an A–Z guide of the most relevant study information.

Q. What if I do not submit Assignment 01 (compulsory)?
A. You will not be allowed to write the examination.

Q. What if I have not received my study material?
A. All enquiries about study material must be directed to Despatch, not to lecturers. Please send an e-mail to despatch@unisa.ac.za.

Q. What happens if I do not acknowledge the sources in my essay, but I have them in the bibliography?
A. You will lose marks. Your lecturers will regard that as plagiarism. Refer to Tutorial Letter DVAALLD/301 for details.
10. SOURCES CONSULTED


11. IN CLOSING

We hope that you will enjoy this module and wish you all the best!
12. ADDENDUM: ASSIGNMENT CHECKLIST

Please complete this checklist and attach it to each of the essay-type assignments you submit for marking. If you want to submit more than one essay-type assignment and need more checklists, please make extra copies for yourself.

Answer all the questions by putting a cross in the appropriate column.

<table>
<thead>
<tr>
<th>QUESTION</th>
<th>YES</th>
<th>NO</th>
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<tbody>
<tr>
<td>1 Have I included a table of contents?</td>
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<td>2 Does my assignment consist of the following:</td>
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<td>- an introduction</td>
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<td>- a main section (or body) with appropriate sub-headings</td>
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<td>- a conclusion</td>
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<td>3 Have I included a bibliography or list of references in which I do the following:</td>
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<tr>
<td>- List all the sources to which I referred.</td>
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<tr>
<td>- Provide full details according to the guidelines given in Tutorial Letter DVAALLD/301.</td>
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<tr>
<td>- List the sources alphabetically.</td>
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<td>4 Have I used references to acknowledge all the sources I quoted?</td>
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<tr>
<td>5 Have I written in my own words and avoided plagiarism?</td>
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<tr>
<td>6 Have I checked to see whether all my sentences follow logically from one another and that my lecturer will be able to follow my argument?</td>
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<tr>
<td>7 Have I limited my assignment to the number of words or pages as stipulated in the assignment question?</td>
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<tr>
<td>8 Have I written my full particulars on the assignment cover sheet?</td>
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<tr>
<td>9 Have I written the correct assignment number on the cover sheet?</td>
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<tr>
<td>10 Have I left enough time for my assignment to reach the university before the closing date?</td>
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ASSIGNMENT NUMBER: _______________

STUDENT NUMBER: _______________

DATE: _______________